

REQUEST FOR PROPOSALS

RFP [YEAR-MO]: 2025-01-Reconnecting Communities Land Use Feasibility Study

Issue Date: 1/10/2025

1 INTRODUCTION

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3 Region 1 Planning Council (**R1**) (also referred to as “**Agency**,”) requests a response from all qualified and responsible
4 Respondents for Land Use Feasibility Study for SW Railway Repurposing. We invite vendors to submit a response with
5 their qualifications for consideration.

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7 Responses will be evaluated by an internal steering committee to determine if it has the required knowledge, expertise,
8 and experience to fulfill requirements. The Agency may, but is not required to, proceed with negotiations to determine
9 the budget, scope, and deliverables.

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11 Responses that do not adhere to the requirements herein may not be considered. Please read the entire solicitation
12 package and submit a Response in accordance with the instructions. All forms and signature areas contained in the
13 solicitation package must be completed in full.

14 ABOUT R1

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16 Region 1 Planning Council (R1) is a *special-purpose, regional government agency*. Regional councils are public
17 organizations comprised of local elected officials that promote collaboration among local governments, working across
18 the jurisdictional silos of states, counties, and municipalities. R1 supports a well-informed, comprehensive dialogue that
19 holistically addresses regional issues by fulfilling the needs of government entities for long-range planning, securing
20 funding, and analyzing and providing data in support of regional projects and initiatives.

21 SCOPE OF WORK

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23 The consultant will complete a feasibility study with recommendations for improving mobility for the residents of the
24 study area and the Rockford Region. The consultant will determine the best options for removal of the switching tracks
25 and repurposing of the site for transit oriented development (TOD), as well as reconnect downtown Rockford with Kent
26 Creek and the neighborhood to the southwest of the study area.

27 At minimum the consultant will:

- 28 - **Review services studies prepared by others**
- 29 - **Assess the feasibility of removal and repurposing of the eligible rail tracks and yards.**
- 30 - **Assess the configuration of any potential additional right of ways for the feasibility of alternative**
31 **transportation uses and mixed-use development.**
- 32 - **Identify transit oriented development opportunities throughout the eligible facility with a focus on a new**
33 **passenger rail station along the existing Union Pacific (UP) tracks.**
- 34 - **Provide detailed findings and recommendations for the feasibility of:**
 - 35 ○ **New economic development and housing opportunities along Illinois Route 2/Main Street frontage;**
 - 36 ○ **Determine rideshare catch points and other alternative transit priorities around the passenger rail**
37 **station.**
 - 38 ○ **Development of the Kent Creek greenway as an active and passive recreation area;**
 - 39 ○ **A regional mobility hub at the station site with state-of-the-art fiber and technology connections, thus**
40 **establishing a regional anchor for a future network of mobility hubs focused on multi-modal**
41 **connectivity throughout the region; and**

42 ○ Transit improvements such as bus route expansion and bus rapid transit (concurrent study) to
43 improve access to Chicago-Rockford International Airport shall be considered.

- 44 - Determine feasibility of improving mobility for the residents of the study area and the Rockford Region. The
45 preferred consultant shall consider the Barber-Coleman campus located in the southern portion of the study
46 area.
- 47 - Provide preliminary engineering review and recommendations to ammend or mitigate the known floodway
48 within the eligible rail facility near Kent Creek.
- 49 - Provide implementation strategies for the feasibility of reconnecting census tracts 28 and 29 through the
50 removal and repurposing the eligible facilities.
- 51 - Provide routine project updates no-less than quarterly, can be in-person or virtual.

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53 Stakeholders will include major shippers, freight and passenger rail organizations, rail labor organizations, intercity
54 bus operators, airlines, airport authorities, chambers of commerce, tourism organizations, and other public or private
55 entities interested in improving rail services and multimodal integration within the state. Involvement of freight
56 railroad carriers is particularly relevant to developing intercity passenger rail services in locations where services are
57 expected to use infrastructure or other facilities owned by freight railroad companies.

58 **RESPONSE: QUALIFICATIONS**

59 The respondent must have significant knowledge, expertise, and experience conducting land use feasibility studies,
60 providing or accessing preliminary engineering services, demonstrating established relationships with Metra, Union
61 Pacific, Canadian National, Canadian Pacific, Federal Railroad Administration, USDOT, FHWA and IDOT. _____.

63 *Your response should clearly identify the following information, preferably in the prescribed outline provided.*

64 **1. CONTACT INFORMATION:**

65 Vendor Name (dba and legal, if different)

- 66 • Description of current business
- 67 • Years in operation
- 68 • Number of staff
- 69 • Contact name
- 70 • Office Phone
- 71 • Mobile Phone
- 72 • Business Email

73 **1. DESCRIPTION OF QUALIFICATIONS.** Provide information establishing qualifications to perform the services requested.
74 Include qualifications of staff relevant to the delivery of services requested.

75 **2. WHERE SERVICES ARE TO BE PERFORMED**

- 76 a. Location where services will be performed
- 77 b. Percentage of contract of services performed at this location

78 **3. REFERENCES:** Respondent must provide references from:

- 79 • three (3) established private firms, and
- 80 • three (3) government agencies,

81 other than the procuring Agency, who can attest to Respondent’s experience and ability to perform services subject to this
82 solicitation. Respondent must provide the name, contact information, and a description of the services provided.

84 **RESPONSE: STATEMENT OF WORK/PROPOSAL**

85 *Your response should clearly identify the following information, preferably in the prescribed outline provided.*

86 **STATEMENT OF WORK.** Proposal describing the Respondent’s solution to meet the requirements of the solicitation.
87 Address the specific requirements described in the Scope of Work; identify proposed timeline and deliverables.

89 **RESPONSE: PRICING PROPOSAL**

90 **1. FORMAT OF PRICING:** Respondent’s price proposal shall serve as the basis for the compensation terms of the resulting
91 contract. Failure to submit pricing as shown in this section may render Respondent’s entire Proposal non-responsive
92 and ineligible for award. Pricing will be based on the terms and conditions set forth in this solicitation.

93 Pricing shall be submitted in the following format:

- 94 • Project budget for each phase
- 95 • _____
- 96 • _____

97 Indicate whether the contract pricing is firm or estimated at the time it is submitted for obligation, invoice discounts
98 offered, expense reimbursements requested.

100 **INSTRUCTIONS FOR SUBMISSION**

101 **1. DESIGNATED CONTACT:** The individual listed in the “Designated Contact:” on the posting shall be the single point of contact
 102 for this solicitation. Unless otherwise directed, Respondents should only communicate with the Designated Contact. R1
 103 shall not be held responsible for information provided by or to any other person. Suspected errors should be immediately
 104 reported to the Designated Contact. Do not discuss, directly or indirectly, the solicitation or any Response with any officer
 105 or employee other than the Designated Contact.

107 **Designated Contact:** Kayla Gipson
 108 127 N Wyman St, Ste 100, Rockford, IL 61101
 109 kgipson@r1planning.org

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 111 **2. GENERAL PROCESS OF SOLICITATION**

112 The following is a general description of the solicitation process. R1 may adjust this process, as needed, and makes no
 113 assurance or representation regarding the timing or schedule of the process.

EVENT		DATE, TIME (CST)
1.	RFP Published	Friday, January 10, 2025 at 11:00am
2.	Pre-submission Teleconference (<i>optional</i>)	n/a
3.	Pre-submission Questions Accepted	January 13-17 th , 2025
4.	Questions and Answers Posted	January 24, 2025 at 10:00am
5.	Proposals Due and Opened	Friday, March 7, 2025 at 11:30am
6.	Responsiveness Determination	Monday, March 10, 2025
7.	Negotiation with Responsive and Responsible Respondents	March 10-14, 2025
8.	Selection of Preferred Response	March 21, 2025
9.	Additional Negotiation (<i>if necessary</i>)	March 24-April 7, 2025
10.	Notice of Award	May 15, 2025

114 **3. PRE-SUBMISSION QUESTIONS AND AGENCY RESPONSE:** All questions, other than questions raised at a Pre-
 115 Submission Conference, pertaining to this solicitation must be submitted **by email** to the Designated Contact.
 116 Questions received and R1 responses may be posted as an Amendment to the original solicitation on the website;
 117 only these posted answers to questions shall be binding on R1. Respondents are responsible for monitoring for posted
 118 updates.

119 **4. PRE-SUBMISSION CONFERENCE**

120 In the posting, the Agency may schedule a Pre-Submission Conference as the “Pre-Bid Conference:”.

121 Is attendance at the Pre-Submission Conference mandatory? Yes No

122 If attendance is mandatory, Respondent (current Vendor included) will be disqualified and considered non-responsive if
 123 Respondent does not attend, is not on time, leaves early or fails to sign the attendance sheet. Respondent must allow
 124 adequate time to accommodate security screenings at the site.

125 **5. SUBMISSION OF RESPONSE:** Response must be received by the Due Date and Time specified herein by electronic
 126 bid response submitted through DemandStar.com. Responses will be downloaded at the time of response opening.

127 **6. ORGANIZATION.** Submissions are to be labeled as follows:

File	Contents
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1	a) Qualifications b) Statement of Work/Proposal c) Acknowledgement of Terms <u>And, if applicable:</u> d) Request for Preference form e) Supplemental Materials f) Redacted Materials
2	a) Pricing (<i>must be separate from all other materials</i>)

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7. EVALUATION: R1 determines how well Responses meet the Responsiveness requirements. R1 will rank Proposals, without consideration of price, from best to least qualified using a point ranking system (unless otherwise specified) as an aid in conducting the evaluation. Respondents who fail to meet minimum requirements or who receive fewer than the minimum required points, if any, will not be considered for price evaluation and award.

R1 evaluates three categories of information: Responsiveness, Responsibility, and Price. R1 considers the information provided and the quality of that information when evaluating Proposals. If R1 finds a failure or deficiency, R1 may reject the Proposal or reflect the failure or deficiency in the evaluation.

8. RESPONSIVENESS: A Responsive Respondent is one who submits a Proposal that conforms in all material respects to the Request for Proposal, and includes **all required** forms.

- a. R1 will determine whether the Proposal meets the stated requirements. Minor differences or deviations that have negligible impact on the price or suitability of the supply or service to meet R1’s needs may be accepted or corrections allowed. If no Respondent meets a particular requirement, R1 may waive that requirement.
- b. When the specification calls for “Brand Name or Equal,” the brand name product is acceptable. Other products will be considered with proof that the other product meets stated specifications and is equivalent to the brand product in terms of quality, performance and desired characteristics.
- c. R1 will determine whether the Proposal complied with the instructions for submitting Proposals. Except for late submissions, and other requirements that by law must be part of the submission, R1 may require that a Respondent correct deficiencies as a condition of further evaluation.

9. RESPONSIBILITY: A responsible Respondent is one who has the capability in all respects to perform fully the contract requirements and who has the integrity and reliability that will assure good faith performance. R1 determines whether the Respondent is a “responsible” Respondent; a Respondent with whom R1 can or should do business. For example, R1 may consider the following:

- a. A “prohibited bidder” includes any person assisting an employee of R1 by reviewing, drafting, directing, or preparing any invitation for bids, a request for proposal, or request of information, or providing similar assistance unless such assistance was part of a publicly issued opportunity to review drafts of all or part of these documents. For purposes of this section, an employee of R1 means one who, by the nature of his or her duties, has the authority to participate personally and substantially in the decision to award a contract. No such person or business shall submit specifications to an agency unless requested to do so by R1. No person or business that contracts with the agency to write specifications for a particular procurement need shall submit a bid or proposal or receive a contract for that procurement need.
- b. Nothing herein is intended to prohibit a vendor from bidding or a Proposal from supplying developing technology, goods or services after providing R1 with a demonstration of the developing technology, goods, or services; provided the subject of the demonstration to R1 represents industry trends and innovation and is not specifically designed to meet R1's needs. Nothing herein is intended to prohibit a person or business from submitting a bid or Proposal or entering into a contract if the person or business: (i) initiates a communication with an employee to provide general information about products, services, or industry best practices and, if applicable, that



164 communication is documented in accordance with Section 50-39 of the Illinois Procurement Code or (ii)
165 responds to a communication initiated by an employee of R1 for the purposes of providing information to
166 evaluate new products, trends, services, or technologies.

167 c. Other factors that R1 may evaluate to determine responsibility include, but are not limited to: political
168 contributions, certifications, conflict of interest, financial disclosures, taxpayer identification number, past
169 performance in business or industry, references (including those found outside the Proposal), compliance with
170 applicable laws, financial responsibility, insurability, effective equal opportunity compliance, payment of
171 prevailing wages if required by law, capacity to produce or sources of supply, and the ability to provide required
172 maintenance service or other matters relating to the Respondent's ability to deliver in the quality and quantity
173 within the time and price as specified in this solicitation.

174 d. Awarded Respondents must at all times have financial resources sufficient, in the opinion of R1, to ensure
175 performance of the contract and must provide proof upon request. R1 may require a performance bond if, in
176 the opinion of R1, it ensures performance of the contract. R1 may terminate the contract, consistent with the
177 termination for cause provision of the contract, if the vendor lacks the financial resources to perform under the
178 contract.

179 e. R1 may require that a Respondent correct any deficiencies as a condition of further evaluation.

180 **10. PRICE:** R1 identifies the the lowest priced Proposal that meets the responsibility and responsiveness requirements, viz.,
181 which proposal provides the smartest budget, accounting for projected development costs, carrying out the
182 statement of work to meet R1's specifications, and discounts, potential ownership, royalty arrangements, or other
183 value added offerings.

ACKNOWLEDGEMENT OF TERMS

Attached this form to your response.

1. **PUBLICATION:** R1 publishes procurement information, including solicitations, awards, and amendments, to its website <http://r1planning.org/procurement> and large purchases to DemandStar at www.demandstar.com. Respondent is responsible for monitoring the site for updated information. R1 will not be held responsible if Respondent fails to receive update notices should they occur. If R1 provides information on its website that is different or in conflict with the information entered in DemandStar, then the information on the R1 website is presumed to represent R1's intent.
2. **TERM OF CONTRACT:** The prospective contract has an initial term of **12 months**. If a start date is not identified, then the term shall commence upon the last dated signature of the Parties.
 - a. Vendor shall not commence billable work in furtherance of the contract prior to final execution of the contract except when permitted in writing by the Agency.
 - b. In no event will the total term of the contract, including the initial term, any renewal terms and any extensions, exceed ten (10) years.
 - c. Any renewal of the contract is subject to the same terms and conditions as those which apply to the initial term of the contract, unless otherwise provided in the pricing section. R1 may renew the contract for any or all of the option periods specified, may exercise any of the renewal options early, and may exercise more than one option at a time based on continuing need and favorable market conditions, when in the best interest of R1. The contract may neither renew automatically nor renew solely at the Vendor's option.
3. **RESPONDENT PERFORMANCE.** The vendor agrees to perform its obligations demonstrating quality workmanship and completion of all work in a timely manner as shall be judged and determined by R1-designated staff.
4. **TERMINATION FOR CAUSE:** R1 may terminate the contract, in whole or in part, immediately upon notice to the Vendor if: (a) R1 determines that the actions or inactions of the Vendor, its agents, employees or subcontractors have caused, or reasonably could cause, jeopardy to health, safety, or property, or (b) the Vendor has notified R1 that it is unable or unwilling to perform the contract.
 - a. If Vendor fails to perform any material requirement of the contract to R1's satisfaction, it is in violation of a material provision of the contract. If R1 determines that the Vendor lacks the financial resources to perform the contract, then R1 shall provide written notice to the Vendor to cure the problem identified within the period of time specified in R1's written notice. If not cured by that date R1 may either: (a) immediately terminate the contract without additional written notice or (b) enforce the terms and conditions of the contract.
 - b. For termination due to any of the causes contained in this Section, R1 retains its rights to seek any available legal or equitable remedies and damages.
5. **TERMINATION FOR CONVENIENCE:** R1 may, for its convenience and with thirty (30) days' prior written notice to Vendor, terminate the contract in whole or in part and without payment of any penalty or incurring any further obligation to the Vendor. Upon submission of invoices and proof of claim, the Vendor shall be entitled to compensation for supplies and services provided in compliance with the contract up to and including the date of termination.
6. **AVAILABILITY OF APPROPRIATION:** The contract is contingent upon and subject to the availability of funds. R1, at its sole option, may terminate or suspend the contract, in whole or in part, without penalty or further payment being required, if (1) the Illinois General Assembly or the Federal funding source fails to make an appropriation sufficient to pay such obligation, or if funds needed are insufficient for any reason (30 ILCS 500/20-60), (2) the

228 funding governmental entity decreases the Agency’s funding by reserving some or all of the Agency’s
229 appropriation(s), or (3) the Agency determines, in its sole discretion that a reduction is necessary or advisable
230 based upon actual or projected budgetary considerations. Contractor will be notified in writing of the failure of
231 appropriation or of a reduction or decrease.

232 **7. PAYMENT TERMS AND CONDITIONS**

- 233 a. **LATE PAYMENT:** Payments, including late payment charges, will be paid in accordance with the State of Illinois
234 Prompt Payment Act and rules when applicable. Payment terms contained in Vendor’s invoices shall have no
235 force or effect.
- 236 b. **EXPENSES:** Prior to the execution of a contract by the Parties, even if the effective date of the contract is prior
237 to execution, R1 will not pay for supplies provided or services rendered, including related expenses, incurred.
- 238 c. **PREVAILING WAGE:** As a condition of receiving payment Vendor must (i) be in compliance with the contract,
239 (ii) pay its employees prevailing wages when required by law, (iii) pay its suppliers and subcontractors
240 according to the terms of their respective contracts, and (iv) provide lien waivers to R1 upon request. Examples
241 of prevailing wage categories include public works, printing, janitorial, window washing, building and grounds
242 services, site technician services, natural resource services, security guard and food services. The prevailing
243 wages are revised by the Illinois Department of Labor (DOL) and are available on DOL’s official website, which
244 shall be deemed proper notification of any rate changes under this subsection. Vendor is responsible for
245 contacting DOL at 217-782-6206 or (<https://www2.illinois.gov/idol/Pages/default.aspx>) to ensure
246 understanding of prevailing wage requirements.
- 247 d. **FEDERAL FUNDING:** The contract may be partially or totally funded with Federal funds. If Federal funds are
248 expected to be used, then the percentage of the goods/services paid using Federal funds and the total Federal
249 funds expected to be used will be provided to the awarded Vendor in the notice of intent to award.
- 250 e. **INVOICING:** By submitting an invoice, Vendor certifies that the supplies or services provided meet all
251 requirements of the contract, and the amount billed and expenses incurred are as allowed in the contract.
252 Invoices for supplies purchased, services performed, and expenses incurred through June 30 of any calendar
253 year must be submitted to R1 no later than July 10 of that calendar year; otherwise Vendor may be required
254 to seek payment through the Illinois Court of Claims.
- 255 f. Vendor shall not bill for any taxes unless accompanied by proof that R1 is subject to the tax. If necessary,
256 Vendor may request the applicable Agency’s tax exemption information.
- 257 g. Vendor shall invoice at the completion of the contract. Exceptions to this term are allowed when, as identified
258 in the contract, invoicing is tied to milestones, deliverables, or other invoicing requirements agreed to therein.

259 **8. ASSIGNMENT:** The contract may not be assigned or transferred in whole or in part by Vendor without the prior
260 written consent of R1.

261 **9. SUBCONTRACTING**

- 262 a. Subcontractors are not allowed. A subcontractor is a person or entity that enters into a contractual agreement
263 with a total value of \$50,000 or more with a person or entity who has a contract subject to the Illinois
264 Procurement Code pursuant to which the person or entity provides some or all of the goods, services, real
265 property, remuneration, or other monetary forms of consideration that are the subject of the primary
266 contract, including subleases from a lessee of a contract. If subcontractors are to be utilized, Respondent must
267 identify subcontractors expected to receive \$50,000 or more annually under the contract and disclose the
268 expected amount of money each will receive.
- 269 ~~b. The Respondent shall notify R1 of any additional or substitute subcontractors hired during the term of the~~
270 ~~contract. If required, Respondent shall provide R1 a copy of all such subcontracts within fifteen (15) days after~~
271 ~~execution of the contract or the subcontract, whichever occurs later.~~

e. ~~Any subcontracts entered into prior to award of the contract are done at the sole risk of the Respondent and subcontractor(s).~~

~~For purposes of this section, subcontractors are those specifically hired to perform all or part of the work covered by the contract. Vendor must receive prior written approval before use of any subcontractors in the performance of the contract. Vendor shall describe, in an attachment if not already provided, the names and addresses of all authorized subcontractors to be utilized by Vendor in the performance of the contract, together with a description of the work to be performed by the subcontractor and the anticipated amount of money that each subcontractor is expected to receive pursuant to the contract. If required, Vendor shall provide a copy of any subcontracts within fifteen (15) days after execution of the contract. All subcontracts must include the same certifications that Vendor must make as a condition of the contract. Vendor shall include in each subcontract the subcontractor certifications as shown on the Standard Certification form available from R1. If at any time during the term of the Contract, Vendor adds or changes any subcontractors, then Vendor must promptly notify, by written amendment to the Contract, R1 Purchasing Officer or the Chief Procurement Officer of the names and addresses and the expected amount of money that each new or replaced subcontractor will receive pursuant to the Contract.~~

10. RETENTION OF RECORDS: Vendor and its subcontractors shall maintain books and records relating to the performance of the contract and any subcontract necessary to support amounts charged to R1 pursuant the contract or subcontract. Books and records, including information stored in databases or other computer systems, shall be maintained by the Vendor for a period of three (3) years from the later of the date of final payment under the contract or completion of the contract, and by the subcontractor(s) for a period of three (3) years from the later of final payment under the term or completion of the subcontract. If Federal funds are used to pay contract costs, the Vendor and its subcontractors must retain their respective records for five (5) years. Books and records required to be maintained under this section shall be available for review or audit by representatives of: the procuring Agency or other governmental entities with monitoring authority, upon reasonable notice and during normal business hours. Vendor and its subcontractors shall cooperate fully with any such audit and with any investigation conducted by any of these entities. Failure to maintain books and records required by this section shall establish a presumption in favor of R1 for the recovery of any funds paid by R1 under the contract or any subcontract for which adequate books and records are not available to support the purported disbursement. The Vendor or subcontractors shall not impose a charge for audit or examination of the Vendor's or subcontractor's books and records. 30 ILCS 500/20-65.

11. TIME IS OF THE ESSENCE: Time is of the essence with respect to Vendor's performance of the contract. Vendor shall continue to perform its obligations while any dispute concerning the contract is being resolved unless otherwise directed by R1.

12. NO WAIVER OF RIGHTS: Except as specifically waived in writing, failure by a Party to exercise or enforce a right does not waive that Party's right to exercise or enforce that or other rights in the future.

13. FORCE MAJEURE: Failure by either Party to perform its duties and obligations will be excused by unforeseeable circumstances beyond its reasonable control and not due to its negligence including acts of nature, acts of terrorism, riots, labor disputes, fire, flood, explosion, and governmental prohibition. The non-declaring Party may cancel the contract without penalty if performance does not resume within thirty (30) days after the declaration.

14. CONFIDENTIAL INFORMATION: Respondent should provide a redacted copy of the Proposal, if applicable, that removes material considered to be a trade secret or competitively sensitive, confidential, or proprietary. Each Party to the contract, including its agents and subcontractors, may have or gain access to confidential data or information owned or maintained by the other Party in the course of carrying out its responsibilities under the contract. Vendor shall presume all information received from R1 or to which it gains access pursuant to the contract is confidential. Vendor information, unless clearly marked as confidential and exempt from disclosure

316 under the Illinois Freedom of Information Act, shall be considered public. No confidential data collected,
317 maintained, or used in the course of performance of the contract shall be disseminated except as authorized by
318 law and with the written consent of the disclosing Party, either during the period of the contract or thereafter.
319 The receiving Party must return any and all data collected, maintained, created or used in the course of the
320 performance of the contract, in whatever form it is maintained, promptly at the end of the contract, or earlier at
321 the request of the disclosing Party, or notify the disclosing Party in writing of its destruction. The foregoing
322 obligations shall not apply to confidential data or information lawfully in the receiving Party's possession prior to
323 its acquisition from the disclosing Party that were received in good faith from a third-party not subject to any
324 confidentiality obligation to the disclosing Party; that is now or later becomes publicly known through no breach
325 of confidentiality obligation by the receiving Party; or that is independently developed by the receiving Party
326 without the use or benefit of the disclosing Party's confidential information.

327 **15. USE AND OWNERSHIP:** All work performed or supplies created by Vendor under the contract, whether written
328 documents or data, goods or deliverables of any kind, shall be deemed work-for-hire under copyright law and all
329 intellectual property and other laws. R1 does not waive its right to sole and exclusive ownership for all such work,
330 unless otherwise agreed in writing. Vendor acknowledges R1 may use the work product for any purpose.
331 Confidential data or information contained in such work shall be subject to the confidentiality provisions of the
332 contract.

333 **16. INDEMNIFICATION AND LIABILITY:** The Vendor shall indemnify and hold harmless R1, its agencies, officers,
334 employees, agents and volunteers from any and all costs, demands, expenses, losses, claims, damages, liabilities,
335 settlements, and judgments, including in-house and contracted attorneys' fees and expenses, arising out of: (a)
336 any breach or violation by Vendor of any of its certifications, representations, warranties, covenants or
337 agreements; (b) any actual or alleged death or injury to any person, damage to any real or personal property, or
338 any other damage or loss claimed to result in whole or in part from Vendor's negligent performance; (c) any act,
339 activity or omission of Vendor or any of its employees, representatives, subcontractors or agents; or (d) any actual
340 or alleged claim that the services or goods provided under the contract infringe, misappropriate, or otherwise
341 violate any intellectual property (patent, copyright, trade secret, or trademark) rights of a third party. Neither
342 Party shall be liable for incidental, special, consequential, or punitive damages.

343 **17. INSURANCE:** Vendor shall, at all times during the term of the contract and any renewals or extensions, maintain
344 and provide a Certificate of Insurance naming R1 as an additional insured for all required bonds and insurance.
345 Certificates may not be modified or canceled until at least thirty (30) days' notice has been provided to R1. Vendor
346 shall provide: (a) General Commercial Liability insurance in the amount of \$1,000,000 per occurrence (Combined
347 Single Limit Bodily Injury and Property Damage) and \$2,000,000 Annual Aggregate; (b) Auto Liability, including
348 Hired Auto and Non-owned Auto (Combined Single Limit Bodily Injury and Property Damage), in the amount of
349 \$1,000,000 per occurrence; and (c) Worker's Compensation insurance in the amount required by law. Insurance
350 shall not limit Vendor's obligation to indemnify, defend, or settle any claims.

351 **18. INDEPENDENT CONTRACTOR:** Vendor shall act as an independent contractor and not an agent or employee of, or
352 joint venturer with R1. All payments by R1 shall be made on that basis.

353 **19. SOLICITATION AND EMPLOYMENT:** Vendor shall not employ any person employed by R1 during the term of the
354 contract to perform any work under the contract. Vendor shall give notice immediately to the Agency's director if
355 Vendor solicits or intends to solicit R1 employees to perform any work under the contract.

356 **20. BACKGROUND CHECK:** Whenever R1 deems it reasonably necessary for security reasons, R1 may conduct, at its
357 expense, criminal and driver history background checks of Vendor's and subcontractor's officers, employees or
358 agents. Vendor or subcontractor shall immediately reassign any individual who, in the opinion of R1, does not pass
359 the background checks.

- 360 **21. APPLICABLE LAW:** The Vendor, its employees, agents, and subcontractors shall comply with all applicable Federal,
361 State, and local laws, rules, ordinances, regulations, orders, Federal circulars and all license and permit
362 requirements in the performance of the contract. Vendor shall be in compliance with applicable tax requirements
363 and shall be current in payment of such taxes. Vendor shall obtain at its own expense, all licenses and permissions
364 necessary for the performance of the contract. Furthermore, the contract shall be construed in accordance with
365 and is subject to the laws and rules of R1.
- 366 **22. EQUAL OPPORTUNITY:** The Department of Human Rights' Equal Opportunity requirements are incorporated by
367 reference. 44 ADM. CODE 750.
- 368 **23. OFFICIAL TEXT:** The official text of the statutes cited herein is incorporated by reference.
- 369 **24. ANTI-TRUST ASSIGNMENT:** If Vendor does not pursue any claim or cause of action it has arising under Federal or
370 State antitrust laws relating to the subject matter of the contract, then upon request of the Illinois Attorney
371 General, Vendor shall assign to R1 all of Vendor's rights, title and interest in and to the claim or cause of action.
- 372 **25. CONTRACTUAL AUTHORITY:** The Agency that signs the contract on behalf of R1 shall be the only entity responsible
373 for performance and payment under the contract. When an Agency representative signs, they do so as approving
374 officer and shall have no liability to Vendor.
- 375 **26. EXPATRIATED ENTITIES:** Except in limited circumstances, no business or member of a unitary business group, as
376 defined in the Illinois Income Tax Act, shall submit a bid for or enter into a contract with the Agency if that business
377 or any member of the unitary business group is an expatriated entity.
- 378 **27. NOTICES:** Notices and other communications provided for herein shall be given in writing via electronic mail
379 whenever possible. If transmission via electronic mail is not possible, then notices and other communications shall
380 be given in writing via registered or certified mail with return receipt requested, via receipted hand delivery, via
381 courier (UPS, Federal Express or other similar and reliable carrier), showing the date and time of successful receipt.
382 Notices shall be sent to the individuals who signed the contract using the contact information following the
383 signatures. Each such notice shall be deemed to have been provided at the time it is actually received. By giving
384 notice, either Party may change its contact information.
- 385 **28. MODIFICATIONS AND SURVIVAL:** Amendments, modifications, and waivers must be in writing and signed by
386 authorized representatives of the Parties. Any provision of the contract officially declared void, unenforceable, or
387 against public policy, shall be ignored and the remaining provisions shall be interpreted, to the extent possible, to
388 give effect to the Parties' intent. All provisions that by their nature would be expected to survive, shall survive
389 termination. In the event of a conflict between R1's and the Vendor's terms, conditions and attachments, R1's
390 terms, conditions, and attachments shall prevail.
- 391 **29. PERFORMANCE RECORD/SUSPENSION:** Upon request of R1, Vendor shall meet to discuss performance or provide
392 contract performance updates to help ensure proper performance of the contract. R1 may consider Vendor's
393 performance under the contract and compliance with law and rule to determine whether to continue the contract,
394 whether to suspend Vendor from doing future business with R1 for a specified period of time, or whether Vendor
395 can be considered responsible on specific future contract opportunities.
- 396 **30. FREEDOM OF INFORMATION ACT:** The contract and all related public records maintained by, provided to, or
397 required to be provided to R1 are subject to the Illinois Freedom of Information Act notwithstanding any provision
398 to the contrary that may be found in the contract. 5 ILCS 140.
- 399 **31. SCHEDULE OF WORK:** Any work performed on premises shall be performed during the hours designated by R1
400 and performed in a manner that does not interfere with R1 and its personnel.
- 401 **32. WARRANTIES FOR SUPPLIES AND SERVICES**
- 402 a. Vendor warrants that the supplies furnished under the contract will: (a) conform to the standards,
403 specifications, drawings, samples or descriptions furnished by R1 or furnished by the Vendor and agreed to

404 by R1, including but not limited to all specifications attached as exhibits hereto; (b) be merchantable, of good
405 quality and workmanship, and free from defects for a period of twelve months or longer if so specified in
406 writing, and fit and sufficient for the intended use; (c) comply with all Federal and State laws, regulations, and
407 ordinances pertaining to the manufacturing, packing, labeling, sale, and delivery of the supplies; (d) be of good
408 title and be free and clear of all liens and encumbrances and; (e) not infringe any patent, copyright or other
409 intellectual property rights of any third party. Vendor agrees to reimburse R1 for any losses, costs, damages
410 or expenses, including without limitation, reasonable attorneys' fees and expenses arising from failure of the
411 supplies to meet such warranties.

412 b. Vendor shall ensure that all manufacturers' warranties are transferred to R1 and shall provide to R1 copies of
413 such warranties. These warranties shall be in addition to all other warranties, express, implied, or statutory,
414 and shall survive R1's payment, acceptance, inspection, or failure to inspect the supplies.

415 c. Vendor warrants that all services will be performed to meet the requirements of the contract in an efficient
416 and effective manner by trained and competent personnel. Vendor shall monitor the performance of each
417 individual and shall immediately reassign any individual who does not perform in accordance with the
418 contract, who is disruptive or not respectful of others in the workplace, or who in any way violates the contract
419 or State policies.

420 **33. REPORTING, STATUS AND MONITORING SPECIFICATIONS:** Vendor shall immediately notify R1 of any event that
421 may have a material impact on Vendor's ability to perform the contract.

422 **34. EXCEPTIONS AND PROVISIONS.** Exceptions (*if applicable*) must be provided on the Acceptance of Terms form or
423 must be in a substantially similar format. R1 discourages taking exceptions. State law shall not be circumvented
424 by the exception process. Exceptions may result in rejection of the Proposal. Additional terms and conditions to
425 contracting may be submitted Supplemental Provisions.

426 Respondent agrees with the terms and conditions set forth in R1 Request for Proposal, including the standard
427 terms and conditions, provisions, certifications, and disclosures, with the following exceptions (if any). Requested
428 modifications to terms, conditions, or provisions here: _____
429 _____

430 ***Reference the line number and state the modification such as "add," "replace," or "delete."***

431
432 **35. RESPONDENT ACKNOWLEDGEMENT OF TERMS**

433 Excluding certifications required by statute to be made by the Respondent, both Parties agree that all of the
434 duties and obligations that the Respondent owes to Agency for the work performed shall be pursuant to the
435 solicitation, resulting contract, and Respondent's exceptions accepted by R1 thereto as set forth herein.

436
437 Respondent hereby acknowledges the terms as provided within the request for proposals.

438
439 Signature of Authorized Representative: _____

440 Printed Name of Signatory: _____

441 Position: _____

442 Date: _____
443



444 **Required: Attach to submission**

445 **REQUEST FOR PREFERENCE FORM**

446 The Illinois Procurement Code provides various preferences to promote business opportunities in Illinois. Does
447 Respondent make any claims for preferences? If so, please mark the applicable preference(s) and include a listing of the
448 items that qualify for the preference at the end of this Section and a description of why the preference applies. Agency
449 reserves the right to determine whether the preference indicated applies.

- 450 Business Enterprise for Minorities, Women, and Persons with Disabilities Act (30 ILCS 575).
- 451 Veterans Preference (330 ILCS 55). Go to <https://cms.diversitycompliance.com/> to search for certified
452 VOSB and SDVOSB vendors.
- 453 Not-for-Profit Agencies for Persons with Significant Disabilities (30 ILCS 500/45-35).
- 454 Disabled Veterans (30 ILCS 500/45-57).
- 455 Small Businesses (30 ILCS 500/45-45).
- 456 Resident Bidder (30 ILCS 500/45-10).
- 457 Soybean Oil-Based Ink (30 ILCS 500/45-15).
- 458 Recycled Materials (30 ILCS 500/45-20).
- 459 Recycled Paper (30 ILCS 500/45-25).
- 460 Environmentally Preferable Supplies (30 ILCS 500/45-26).
- 461 Correctional Industries (30 ILCS 500/45-30).
- 462 Gas Mileage (30 ILCS 500/45-40).
- 463 Illinois Agricultural Products (30 ILCS 500/45-50).
- 464 Corn-Based Plastics (30 ILCS 500/45-55).
- 465 Vehicles Powered by Agricultural Commodity-Based Fuel (30 ILCS 500/45-6)
- 466 Biobased Products (30 ILCS 500/45-75).
- 467 Historic Preference Area (30 ILCS 500/45-80).
- 468 Procurement of Domestic Products (30 ILCS 517).
- 469 Public Purchases in Other States (30 ILCS 520).
- 470 Illinois Mined Coal (30 ILCS 555).
- 471 Steel Products Procurement (30 ILCS 565).
- 472 Fair Chance Hiring Practices
- 473 State-Registered Vendor
- 474 Certified BEP Vendor Go to <https://cms.diversitycompliance.com/> to search for certified BEP vendors.
475

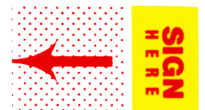
476 Explanation of Preference Qualifications selected: _____

477 Signature of Authorized Representative: _____

478 Printed Name of Signatory: _____

479 Respondent's Name: _____

480 Date: _____



481 **If applicable: include this form and related attachments**

485 **DISCLOSURE OF SUBCONTRACTS**

486 **1.** If subcontracting is allowed by the Agency (see D.7.), then check Yes if subcontractors will be utilized or No if
487 subcontractors will not be utilized. Yes No

488 A subcontractor is a person or entity that enters into a contractual agreement with a total value of \$50,000 or
489 more with a person or entity who has a contract subject to the Illinois Procurement Code pursuant to which the
490 person or entity provides some or all of the goods, services, real property, remuneration, or other monetary forms
491 of consideration that are the subject of the primary State contract, including subleases from a lessee of a State
492 contract. All contracts with subcontractors must include Standard Certifications completed and signed by the
493 subcontractor.

494 **2.** The maximum percentage of the goods or services that are the subject of this Proposal and the resulting contract
495 that may be subcontracted is \$_____.

496 **3.** If applicable, identify below subcontractors with an annual value of \$50,000 or more that will be utilized in the
497 performance of the contract, the names and addresses of the subcontractors, and a description of the work to be
498 performed by each.

- 499 • Subcontractor Name: _____
- 500 Anticipated/Estimated Amount to Be Paid: _____
- 501 Address: _____
- 502 Description of Work: _____

503 ~~If additional space is necessary to provide subcontractor information, please attach an additional page.~~

504 **4.** For the subcontractors identified above, the Respondent must provide each subcontractor's Financial Disclosures
505 and Conflicts of Interest to R1 as these are incorporated as a material term of the contract.

506 **5.** Provide references from established firms or government agencies (_____) other than the
507 procuring agency that can attest to Respondent's experience and ability to perform the contract that is the subject
508 of this solicitation.

- 509 Firm/Government Agency (name): _____
- 510 Contact Person (name, email address, address, and phone): _____
- 511 Date of Supplies/Services Provided: _____
- 512 Type of Supplies/Services Provided: _____

514 Respondent Name: _____

515 Return Mailing Address: _____

516 Email Address: _____

517
518 **If applicable: include this section and related attachments in File**